Low Rank Approximation for Learned Query Optimization **Zixuan Yi**¹, Yao Tian², Zack Ives¹, Ryan Marcus¹ ¹ University of Pennsylvania ² The Hong Kong University of Science and Technology

Simple, low-overhead Linear Methods can perform nearly as effective as complex deep learning approach for **Offline Learned QO**.

Low Rank Workload Matrix

Workload Matrix M:

Each row represents a SQL query. Each column represents a hint (parameterization of the QO). One possible hint: **Disable** Nested Loop Join Enable Hash Join Enable Merge Join **Enable Index Scan** Enable Seq Scan Enable Index-only Scan

Each entry represents the latency time for DB to execute the query under the hint.

M is LOW RANK

Intuition: two queries that behave similarly on some hints are likely to behave similarly on other hints as well.

Option1: LimeQO (Linear Method Only)

Use Alternating Least Squares Algorithm to recover the unobserved entries from the observed ones.

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Option2: LimeQO+ (Adding Query Features in)

Use query plan features in tree structure (including cardinality estimation result and cost) and QH Matrix embeddings as input.

LimeQO strategy for Offline Learned QO

Generate the full matrix, then explore the queries with the **biggest potential gain ratio** (current min observed value – predicted row min) / predicted row min



Offline Learned QO

Why? Current Learned QOs cause unpredictable regressions.

("my query was fast yesterday, why is it slow today?")

How? verify that potential new query plans are actually better than the default plan.

Setting: Repetitive workload! **Goal:** simultaneously minimize the workload latency and the total offline exploration time, while maintaining the "no-regressions" guarantee.





Caption: Both LimeQO and LimeQO+ outperform Bao Even without any features, pure linear method (LimeQO) can perform nearly as effective as the one using complex Neural Network (LimeQO+).



Experiments

Random randomly explore unobserved entries.

Greedy explore the tail latency queries first.

LimeQO uses only Linear Method to predict.

LimeQO+ uses query features and matrix embeddings to train and predict.

Offline-Bao uses TCNN to select unobserved entries to explore. It does not verify plans before selecting them so regressions happens.

Total Latency Time is simply adding up the observed row minimum in the workload matrix. **Offline Exploration Time** is the total time to execute the query plan + overhead time of the technique. We also applied timeout and censored techniques to reduce offline time.